



COMBINED FEDERAL CAMPAIGN

2005 Application Instructions for Local Unaffiliated Organizations

BACKGROUND

Enclosed is the model application for participation by local unaffiliated organizations in the Combined Federal Campaign (CFC). The following instructions and form are intended to assist charitable organizations in applying for participation in the CFC. All aspects of the CFC, including eligibility for participation, are strictly governed by Federal regulation. Copies of current CFC regulations are available in PDF and Word formats on our website at www.opm.gov/cfc. Additional copies of the application can also be downloaded from the website.

All required documents and attachments must be complete and submitted before the application deadline each year. *Applicants whose applications do not contain required documents or who submit incomplete or out-of-date documents will not be permitted to correct their applications during the appeals process.* Organizations that apply for local eligibility and are found ineligible will have *one* opportunity to appeal to the Local Federal Coordinating Committee (LFCC) for reconsideration. If found ineligible on appeal by the LFCC, the organization may appeal the LFCC's decision to the Director of the Office of Personnel Management (OPM). The Director's decision is final for administrative purposes. Appellants should ensure that their appeals are complete and responsive to the actual reasons for the LFCC denial decision.

Each LFCC determines the application deadline for organizations seeking local eligibility. Since local dates will vary, please check with the local CFC for local application deadlines and filing information.

If a local application form is available, OPM suggests that unaffiliated organizations use the local application provided when applying to the CFC.

FAXES OR ELECTRONIC SUBMISSIONS OF APPLICATIONS ARE NOT ACCEPTED

DEFINITIONS

Organization Legal name of the applicant organization. If the name of the organization differs from the name that appears on the IRS determination letter, IRS Form 990, audited financial statements, or annual report, official documentation from the IRS or state government authorizing this name change must accompany the application. The Federal Tax ID Number must be included.

4 Digit CFC No. The number assigned to the organization in the previous year's campaign, if applicable.

Mailing Address A physical mailing address must be provided - Post Office Box addresses will not be accepted.

Telephone Organization's telephone number.

Contact Person The contact person is the individual to whom the CFC will direct communications. This may be any individual in the organization.

Contact Address Contact Person's physical mailing address if different than the organization's address. Post Office Boxes may not be used.

Contact Telephone Contact person's telephone number, if different than the organization's telephone number.

Fax Contact person's fax number.

Contact E-Mail Contact person's electronic mail address.

Website Address List the complete Internet address of the applicant organization (no email addresses).

Disbursement Address List the address where paper checks will be sent, if different from mailing address. Post office boxes may be used for the disbursement address.

Certifying Official The certifying official is the individual who has the authority to affirm that all statements in the application are accurate.

INSTRUCTIONS

Item 1

Check the one appropriate box. **If applying for local or adjacent eligibility, make sure you include information on your local presence, such as office location, hours of operations, etc. as Attachment A.** **If applying for statewide eligibility make sure to include proof of statewide coverage as Attachment A.** Organizations applying for statewide eligibility must provide either a detailed description of the services and activities they provided to 30 percent of their target population in a given state OR a detailed description of those activities covering 30 percent of the state's geographical boundaries.

Item 2

Include as Attachment B the organization's most recent IRS determination letter. Also include a letter from the IRS or other state-issued documentation authorizing any legal name change. *Interim IRS 501(c)(3) letters with expiration dates before December 31 of the year preceding the campaign year for which the organization is applying will be accepted only with proof of application to the IRS for permanent 501(c)(3) status.*

Item 3

Check the one appropriate box.

Item 4

Include as **Attachment C** a detailed description of the programs, services, benefits, etc. provided by the organization within the previous year and how those programs, services, benefits, etc. affect the health and/or welfare of the target population.

Item 5

If the organization is required to submit audited financial statements, the certifying official must verify that the organization uses the accrual method of accounting. ***No other basis of accounting is accepted under Generally Accepted Accounting Principles (GAAP). The cash basis, modified cash basis, and modified accrual basis are not acceptable methods of accounting under GAAP. Use of these other accounting methods will result in a denial.***

Organizations with annual revenue of less than \$100,000 on line 12 of the IRS Form 990 submitted with this application are not required to submit audited financial statements and may use the cash, modified cash, or modified accrual basis of accounting in the IRS Form 990.

Item 6

Check the one appropriate box. **Include as Attachment D a copy of the organization's annual audited financial statements, if required.** Combined and consolidated financial statements are not accepted unless the applicant's financial information is reflected in a separate audited combining or consolidating schedule. *The audited financial statements must cover the fiscal period ending not more than 18 months prior to the January of the campaign year to which the organization is applying. The IRS Form 990 and audited financial statements must cover the same fiscal period. If revenue and expenses on the two documents differ, these amounts must be reconciled either on the IRS Form 990, Parts IV-A and IV-B, or by the independent certified public accountant who completed the audit in an accompanying signed statement. For further information, please see CFC Memorandum 2004-11.*

Audited financial statements are not required for organizations with annual revenue of less than \$100,000 on line 12 of the IRS Form 990.

Item 7

Include as *Attachment E* a copy of the most recently completed, signed IRS Form 990, including a signature on page 6 in the block marked "Signature of officer." The preparer's signature alone is not sufficient. A complete form includes all supplemental statements and schedules, if applicable, for the applicant organization. *A completed Form 990 is required to be eligible for the CFC even if the Internal Revenue Service does not require your organization to file the Form 990. IRS Forms 990EZ, 990PF, and comparable forms will not be accepted. However, smaller organizations that file Form 990EZ may submit it with pages 1 & 2 of the Form 990 attached. The IRS Form and audited financial statements must cover the same fiscal period and be prepared using the accrual basis of accounting if annual revenue is \$100,000 or more. If revenue and expenses on the two documents differ, these amounts must be reconciled either on the IRS Form 990, Parts IV-A and IV-B, or by the independent certified public accountant who completed the audit in an accompanying signed statement.*

IMPORTANT NOTE:

OPM prefers that applicants reconcile their revenues and expenses using page 4 of the IRS Form 990. The IRS Form 990 MUST be signed by an official of the organization. For additional guidance about reconciling audited financial statements and IRS Forms 990, please refer to CFC Memorandum 2004-11.

Item 8

Check the one appropriate box. The annual percentage for administrative and fundraising expenses is computed ***only*** from IRS Form 990 by adding the amount spent on "management and general" (line 14) to "fundraising" (line 15) and dividing the resulting total by "total revenue" (line 12). No other method may be used to calculate this percentage. **If the annual percentage for administrative and fundraising expenses is greater than 25.04%, include as *Attachment F* an explanation of the organization's management, general, and fundraising expenses and a formal plan to reduce expenses to 25% or less.** Failure to separately submit an acceptable justification and plan for reducing expenses may result in a denial.

Item 9

Self-explanatory

Item 10

Self-explanatory

Item 11

Self-explanatory

Item 12

Self-explanatory

Item 13

Fill in name of state or entity.

Item 14

Organizations receiving over 80% of revenue from government sources are not eligible to participate in the CFC. Certify only if revenue from government sources, line 1c on IRS Form 990, is 80% or less of line 12 of IRS Form 990. (Divide line 1c by line 12.) Medicaid and Medicare are not included as revenue from a government source.

Item 15

Include as *Attachment G* a copy of the organization's most recently completed annual report. The annual report must cover the fiscal year ending not more than 18 months prior to January of the campaign year to which the organization is applying or the preceding calendar year. It must contain a full description of the organization's activities and supporting services during the year covered by the report and identify its directors and chief administrative personnel. Attachment G must also include information about the terms of office for the applicant's governing board's officers and members and the dates, times and places of their meetings over the past year.

Item 16

Executive Order 13224 directs all U.S. persons, including agencies of the U.S. government, to take all appropriate measures within their authority to carry out its provisions. Pursuant to E.O. 13224, U.S. persons may not engage in various transactions with persons who commit or support terrorism including contributing funds to such persons or providing or receiving services from them. Also, the U.S. Department of State in consultation with the U.S. Department of Justice maintains a Terrorist Exclusion List, one effect of which is to deter donations or contributions to named organizations. Accordingly, OPM requires that all organizations that apply to participate in the CFC certify that they do not employ individuals or contribute funds to entities or persons on either the Department of Treasury's Office of Foreign Assets Control Specially Designated Nationals List (OFAC SDN)

(www.treas.gov/offices/enforcement/ofac/sdn/index.html) or the Terrorist Exclusion List (TEL) (<http://www.state.gov/s/ct/rls/fs/2004/32678.htm>)

Should any change in circumstances pertaining to the certification occur at any time, the organization must notify the Office of CFC Operations immediately.

The Office of CFC Operations will make available links to all relevant websites. See www.opm.gov/cfc/opmmemos/2003/list.asp.

Item 17

Include as Attachment H, a statement in 25 words or less that describes the organization's program activities. The statement should not repeat the organization's name, but must include the legal name as registered with the IRS if the organization does business under a different name. All organizations must include their IRS Employee Identification Number (EIN) regardless of whether or not they are operating under a "dba" ("doing business as"). The statement must also include a telephone number that can be reached from any location in the U.S. and the organization's administrative and fundraising rate. The legal name listing, telephone number, EIN, and administrative and fundraising rate will NOT count as part of the 25-word statement. An Internet address where information on the organization can be obtained may be included and will not count toward the 25 words. OPM will not be responsible for incorrect Internet addresses. E-mail addresses are not accepted.

Special design text used to draw attention to a organization title, such as special fonts, capitalization, quotations, and underlining, are not accepted.

Any statement that uses special features, or exceeds 25 words will be edited by the LFCC. Organizations will be listed by their legal IRS recognized name as it appears on the IRS Form 990 only unless the appropriate legal document-tation permitting otherwise is provided with the application. The appropriate format is as follows:

0000 Name of Organization (202) 555-1234
www.opm.gov/cfc (legal name of organization, if applicable) EIN#12-3456789 The description will contain no more than 25 words. It should be worded so the donor understands the program services provided. **4.2%**

IMPORTANT: All application information must be specific to the applicant organization. Regional and/or national materials will not be accepted for local chapters.

For further details regarding CFC eligibility requirements for local organizations and federations, refer to CFC Guidance Memorandum 2004-11 on the CFC website at www.opm.gov/cfc.

Important
Dated Information Enclosed

**2005 Chicago Area
Combined Federal Campaign (CFC)
Local Unaffiliated or Federation Member Application**

LOCAL APPLICATION DEADLINE:
FRIDAY, APRIL 8, 2005
5:00 p.m. CDT

Organizations must apply every year in order to be eligible to receive donations from CFC.

Please mail application and attachments to:
Chicago Federal Executive Board
230 S. Dearborn, Suite 3816
Chicago, IL 60604

All applicants will be notified by mail of the LFCC determination concerning their application. Questions may be directed to the FEB at 312-353-6790 or CFC Director at 312-379-0190. A public meeting will be held by the LFCC to announce the decision of eligibility. Call the FEB for the exact date, time and location.

1995 CFC Regulations remain in effect

2005 Chicago CFC Local Unaffiliated or Federation Member Application

Organization: _____

(If the name of the organization is different from the name which appears on the IRS

Form 990, official documentation authorizing this name change must accompany the application. The Federal Tax ID Number must be included.)

4 Digit CFC Number (If a participant in the last year's CFC): ____ _

Mailing Address: _____

(Post Office Box addresses are not accepted and may result in automatic disqualification)

Telephone Number (including area code): _____

Contact Person: _____

E-Mail Address: _____

Contact Address: _____

(If different from the above address -- All correspondence will be sent to this address)

Telephone Number (including area code): _____

Internet Address: _____

ATTACHMENT H--- ATTACHMENT H--- ATTACHMENT H---ATTACHMENT H---ATTACHMENT H---

Listing in the Donor Guide Include your organization's legal name, "Doing Business As" (DBA) name (optional), Employee Identification Number, a statement of 25 words or less describing the program of your organization and your administrative/fund-raising percentage. If you are including the DBA name, a copy of the IRS letter or state letter needs to be included in the application. (See Application Assistance for more information.)

Legal Name (same as 990) _____

DBA Name (optional) _____

RS Employee Identification Number _____

25 word statement: One word per numbered line (see note at end of application)

1 _____	2 _____	3 _____	4 _____	5 _____
6 _____	7 _____	8 _____	9 _____	10 _____
11 _____	12 _____	13 _____	14 _____	15 _____
16 _____	17 _____	18 _____	19 _____	20 _____
21 _____	22 _____	23 _____	24 _____	25 _____

web-site (optional) _____

Admin/Fundraising % from Question 8 _____

Affiliation Questions

1. Has your organization applied to the Office of Personnel Management in Washington, DC for CFC participation at the national level as an independent agency or through a national federation?

Yes _____ No _____

2. Is your local organization a member of a national federation?

Yes _____ Name _____

No _____

3. Is your local organization a member of a local federation?

Yes _____ Name _____

No _____

CERTIFYING OFFICIAL:

I, _____, am the duly appointed representative
(Name)

of _____ authorized to certify and affirm all statements
(Organization)
enclosed in this application.

(Signature)

(Typed or Printed Name)

Date Completed _____

(Title)

NOTE: All application information must be specific to the applicant organization. Regional and/or national materials will not be accepted for local chapters.

1) Place a check in the *one* appropriate box:

☐ I certify that the organization named in the application has a substantial local presence in the geographical area covered by the local campaign. (*Substantial local presence is defined as a staffed facility, office or portion of a residence dedicated exclusively to that organization, available to members of the public seeking its services or benefits. The facility must be open at least 15 hours a week and have a telephone dedicated exclusively to the organization.*) Include as **ATTACHMENT A** supporting statements and/or documentation of substantial local presence.

-----OR-----

☐ I certify that the applicant organization named in the application has a substantial local presence in the geographical area covered by an adjacent local campaign. (*Substantial local presence is defined as a staffed facility, office or portion of a residence dedicated exclusively to that organization, available to members of the public seeking its services or benefits. The facility must be open at least 15 hours a week and have a telephone dedicated exclusively to the organization.*) Include as **ATTACHMENT A** supporting statements and/or documentation of substantial presence in an adjacent campaign.

-----OR-----

☐ I certify that the organization named in the application has a substantial statewide presence. (*Substantial statewide presence is defined as providing or conducting real services, benefits, assistance or program activities covering 30 percent of the state's geographic boundaries **OR** providing or conducting real services, benefits, assistance or program activities affecting 30 percent of the target population in the given state.*) Include as **ATTACHMENT A** supporting statements and/or documentation of substantial statewide presence.

2) ☐ I certify that the Internal Revenue Service (IRS) recognizes the organization named in this application as tax-exempt under 26 U.S.C. 501(c)(3) and to which contributions are tax deductible pursuant to 26 U.S.C. 170. Include a copy of the most recent IRS determination letter as **ATTACHMENT B**. (*Interim IRS 501(c)(3) letters with expiration dates before December 31 of the year preceding the campaign year for which the organization is applying will be accepted only with proof of application to the IRS for permanent 501(c)(3) status.*) If the name of the organization is different from the name which appears on the IRS Form 990, IRS determination letter, audited financial statements, or annual report, official documentation authorizing the name change must accompany the application. The Federal Tax ID Number must be included.

3) Place a check in the *one* appropriate box:

☐ I certify that the expenses of the organization named in this application connected with lobbying and all attempts to influence voting or legislation at the local, state or Federal level would classify it as a tax-exempt agency under 26 U.S.C. 501(h).

-----OR-----

☐ I certify that the organization named in this application does not engage in lobbying nor does it attempt to influence voting or legislation at the local, state or Federal level.

4) I certify that the organization named in this application is a human health and welfare org-

☐ anization providing services, benefits, or assistance to, or conducting activities affecting human health and welfare. Include as ATTACHMENT C supporting information that describes the health and welfare benefits provided within the previous year.

5) I certify that the organization named in the application accounts for its funds in accordance

☐ with generally accepted accounting principles (GAAP). Note: The only acceptable basis of accounting under GAAP is the accrual method. Cash basis, modified cash basis and modified accrual basis are not acceptable methods of accounting under GAAP.

6) Check the *one* appropriate box:

☐ I certify that the organization named in the application was audited in accordance with generally accepted auditing standards (GAAS) by an independent certified public accountant in the immediately preceding year. Include a copy of the organization's most recently completed audited financial statements as ATTACHMENT D. Combined and consolidated financial statements are not accepted unless the applicant's financial information is reflected in a separate audited combining or consolidating schedule. Audited financial statements are not required for organizations with annual revenue of less than \$100,000 on line 12 of the IRS Form 990. *(The audited financial statements must cover the fiscal period ending not more than 18 months prior to the January of the campaign year to which the organization is applying. The IRS Form and audited financial statements must cover the same fiscal period and be prepared using the accrual basis of accounting. If revenue and expenses on the two documents differ, these amounts must be reconciled either on the IRS Form 990, Parts IV-A and IV-B, or by the certified public accountant who completed the audit in an accompanying signed statement. For further information, please see CFC Memorandum 2004-11.)*

-----OR-----

☐ I certify that the organization named in the application has annual revenue of less than \$100,000 and therefore is not required to submit audited financial statements in accordance with generally accepted auditing standards by an independent certified public accountant. (Annual revenue is determined by line 12 of the IRS Form 990).

- 7) ☐ Include as ATTACHMENT E a copy of the most recently completed IRS Form 990, including signature on page 6 in the box marked "Signature of officer." The preparer's signature alone is not sufficient. *(NOTE: If the Internal Revenue Service does not require your organization to file the Form 990, you must still complete one in accordance with IRS regulations to be eligible for the CFC. IRS Forms 990 EZ, 990PF, and comparable forms are not accepted. However, smaller organizations that file Form 990EZ may submit it with pages 1 & 2 of the Form 990 attached. (The IRS Form and audited financial statements must cover the same fiscal period and be prepared using the accrual basis of accounting. If revenue and expenses on the two documents differ, these amounts must be reconciled either on the IRS Form 990, Parts IV-A and IV-B, or by the certified public accountant who completed the audit in an accompanying signed statement.)*

- 8) Place a check in *one* appropriate box:

- ☐ I certify that the organization named in this application has spent 25% or less of its total support and revenue on administrative and fundraising expenses during the year covered by the submitted IRS Form 990. The actual percentage of administrative and fundraising expenses is ____%. This percentage has been computed from information on the IRS Form 990 by adding the amount spent on "management and general" (line 14) to "fund-raising" (line 15) and dividing the resulting total by "total revenue" (line 12). No other method may be used to calculate this percentage.

-----OR-----

- ☐ I certify that the organization named in this application has spent in excess of 25% of its total support and revenue on administrative and fundraising expenses during the year covered by the submitted IRS Form 990. The actual percentage of administrative and fundraising expenses is ____ % and this percentage is reasonable under the circumstances. Include as ATTACHMENT F an explanation of the organization's management, general and fundraising expenses and a formal plan to reduce these expenses to 25% or less of its total support and revenue.

- 9) ☐ I certify that an active and responsible governing body, whose members have no material conflict of interest and a majority of which serve without compensation, directs the organization named in this application.

- 10) ☐ I certify that the organization named in this application prohibits the sale or lease of CFC contributor lists.

- 11) ☐ I certify that the organization named in this application conducts publicity and promotional activities based upon its actual programs and operations, that these activities are truthful and non-deceptive, include all material facts, and make no exaggerated or misleading claims.

- 12) ☐ I certify that the organization named in this application effectively uses the funds contributed by federal personnel for its announced purposes.

- 13) ☐ I certify that the organization named in this application is chartered/incorporated under a governmental entity. *This entity or state is* _____.
- 14) ☐ I certify that the organization named in this application has in the preceding year received no more than 80 percent of its total support and revenues from government sources. (Revenue from government sources must be computed from the IRS Form 990 by dividing line 1c by line 12.)
- 15) ☐ I certify that the organization named in this application prepares and makes available to the public an annual report that includes a full description of the organization's activities and supporting services and identifies its directors/governing body and chief administrative personnel. Include as ATTACHMENT G a copy of the most recently completed annual report. The annual report must cover the fiscal year ending not more than 18 months prior to January of the campaign year to which the organization is applying or the preceding calendar year. *Attachment G must also include information about the terms of office for the applicant's governing board's officers and members and the dates, times and places of their meetings over the past year.*
- 16) ☐ I certify that, as of the date on which this application is being submitted to the CFC, the organization named in this application does not knowingly employ individuals or contribute funds to entities or persons on either the Department of Treasury's Office of Foreign Assets Control Specially Designated Nationals List or the Terrorist Exclusion List. Should any change in circumstances pertaining to this certification occur at any time, the organization will notify OPM's Office of CFC Operations immediately.
- 17) Include **as** Attachment H (*see front page of application*) the 25-word statement for listing in the campaign brochure.

I CERTIFY THAT I HAVE READ ALL THE CERTIFICATIONS SET FORTH IN THIS DOCUMENT AND THAT MY SIGNATURE BELOW SIGNIFIES THAT I ACKNOWLEDGE AND AGREE WITH SUCH CERTIFICATIONS.

Certifying Official's Signature & Title

Date

NOTE: Applications will not be accepted if submitted electronically or by facsimile. The certifying official's signature must be original. Automatic pens and/or signature stamps may not be used.

Public Burden Statement

We think this form takes an average of 3 hours to complete, including the time for getting the needed data and reviewing both the instructions and completing the form. Send comments regarding our estimate or any other aspects of this form, including suggestions for reducing completion time to Office of Personnel Management (OPM), OPM Forms Officer, Paperwork Reduction Project (3206-0131), Washington, DC 20415-7900. The OMB number 3206-0131 is currently valid. OPM may not collect this information, and you are not required to respond, unless this number is displayed.